

**GREENLEE, WINNER and SULLIVAN, P.C.**

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**FACSIMILE COVER SHEET**

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DATE: May 24, 2006  
TOTAL NO. PAGES: 10 -- including cover sheet  
TO: Charles Smoot  
FIRM: USPTO - PCT  
FACSIMILE NUMBER: 1-571-273-0459  
FROM: Michael Curtis  
RE: Change of Correspondence Address and New POA  
for US National Phase application 10/551,639

**RECEIVED**

24 MAY 2006

Legal Staff  
International Division

*If transmission is unclear, please telephone (303) 499-8080 immediately.*

**COMMENTS:**

Attached are the New Power of Attorney and Change of Correspondence Address and supporting documents originally mailed in January of 2006. Please enter the new POA and correspondence address for U.S. 10/551,639.

Many thanks



Michael Curtis

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**CONFIDENTIALITY NOTICE**

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged and confidential. If the reader of this message is not the intended recipient or the agent responsible for delivering the message to the intended recipient, you are hereby notified that any disclosing, copying, distributing or the taking of any action in reliance on the contents of this communication is prohibited. If you have received this communication in error, please notify us immediately by telephone (call collect) and return the original to us at the above address via the postal service. Thank you.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/551,639  
Applicant : Wu et al.  
Filed : September 30, 2005  
Art Unit :  
Examiner :  
For :  
Docket No. : 139-05  
Customer No. : 23713

Confirmation No.:

## TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

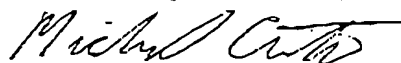
The following documents are enclosed; please enter them in this case:

Revocation of Power of Attorney with New Power of Attorney  
and Change of Correspondence Address, executed on behalf of  
The Board of Trustees of the University of Illinois

Statement Under 37 CFR 3.73(b), executed on behalf of The Board  
of Trustees of the University of Illinois, with attached copy of  
the Assignment as recorded.

It is believed that no fee is due with this submission; however, if this is incorrect,  
please deduct the appropriate fee from Deposit Account No. 07-1969.

Respectfully submitted,



Michael J. Curtis  
Reg. No. 54,053

GREENLEE, WINNER AND SULLIVAN, P.C.  
4875 Pearl East Circle, Suite 200  
Boulder, CO 80301  
Telephone (303) 499-8080  
Facsimile: (303) 499-8089  
Email: winner@greenwin.com

Attorney Docket No. : 139-05  
bmk: January 24, 2006

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
deposited with the United States Postal Service with  
sufficient postage as EXPRESS MAIL in an envelope  
addressed to the Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450.

34 January 2006 B. Kroge  
Date B. Kroge  
Express Mail Receipt No. EV 758 237 804 US

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PTO/SB/82 (04-05)

Approved for use through 11/30/2005. OMB 0851-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS

Application Number	10/551,639
Filing Date	September 30, 2005
First Named Inventor	Christine D. WU
Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	27611/38257A

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

23713

☐ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:

23713

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Signature

Name

Date

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CV 51/PTO/US

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PTO/SB/96 (12-05)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: The Board of Trustees of the University of IllinoisApplication No./Patent No./Control No.: 10/551,639Filed/Issue Date: September 30, 2005Entitled: Method and Composition for Controlling Oral PathogensThe Board of Trustees of the University of Illinois

(Name of Assignee)

a university

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Stephen K. Rugg  
Signature

1/10/06  
Date

STEPHEN K. RUGG  
COMPTROLLER

Printed or Typed Name

312 413 9736  
Telephone Number

Telephone Number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CV51/PT/115

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27611/38257A

GWS Docket 139-05

## ASSIGNMENT

Serial No: 10/551,639Filed: September 30, 2005Title: METHOD AND COMPOSITION FOR CONTROLLING ORAL PATHOGENS

For \$10.00 (Ten Dollars) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS, a body corporate and politic of the state of Illinois, 352 Administration Building, 506 South Wright Street, Urbana, Illinois 61801 (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for letters patent of the United States, executed by the undersigned on October 19, 2005 and in said application and any and all other applications, both in the United States and in other countries, which the undersigned may file or be named as an inventor, either solely or jointly with others, on said invention or improvements, and in any and all letters patent of the United States and other countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner for Patents to issue said letters patent to said Assignee.

The undersigned hereby authorize and request that the attorneys of record in said application insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned except in favor of Assignee.

For said consideration the undersigned hereby agree, upon the request and at the expense of said Assignee, its successors and assigns, to execute any and all applications on said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any letters patent that may be granted upon any and all of said applications, and any and all applications and other documents for letters patent in other countries on said invention or improvements, that said Assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said Assignee, its successors or assigns, in the event of any application or letters patent assigned herein becoming involved in Interference or Opposition, to cooperate to the best of the ability of the undersigned with said Assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said letters patent, both in the United States and in other countries, and vest all rights therein hereby conveyed in said Assignee, its successors and assigns, whereby said letters patent will be held and enjoyed by said Assignee, its successors and assigns, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

MARSHALL, GERSTEIN & BORUN LLP, 233 S. Wacker Drive, Suite 6300, Sears Tower, Chicago, Illinois 60606-6357

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27611/38257A

WITNESS our hands this

19<sup>th</sup> day of October, 2005.

State of

ILLINOIS

County of

COOK

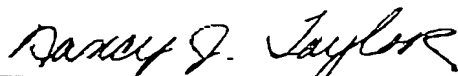
X   
Christine D. Wu

On this 19 day of OCTOBER, 2005, before me, a Notary Public in and for the County and State aforesaid, appeared, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that she executed said instrument as her free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires:

08/08/09



Notary Public

OFFICIAL SEAL  
NANCY J TAYLOR  
NOTARY PUBLIC - STATE OF ILLINOIS  
MY COMMISSION EXPIRES 08/08/09

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27611/38257A

GWS Docket 139-05

## ASSIGNMENT

Serial No: 10/551,639Filed: September 30, 2005Title: METHOD AND COMPOSITION FOR CONTROLLING ORAL PATHOGENS

For \$10.00 (Ten Dollars) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS, a body corporate and politic of the state of Illinois, 352 Administration Building, 506 South Wright Street, Urbana, Illinois 61801 (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for letters patent of the United States, executed by the undersigned on, October 26, 2005 and in said application and any and all other applications, both in the United States and in other countries, which the undersigned may file or be named as an inventor, either solely or jointly with others, on said invention or improvements, and in any and all letters patent of the United States and other countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner for Patents to issue said letters patent to said Assignee.

The undersigned hereby authorize and request that the attorneys of record in said application insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned except in favor of Assignee.

For said consideration the undersigned hereby agree, upon the request and at the expense of said Assignee, its successors and assigns, to execute any and all applications on said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any letters patent that may be granted upon any and all of said applications, and any and all applications and other documents for letters patent in other countries on said invention or improvements, that said Assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said Assignee, its successors or assigns, in the event of any application or letters patent assigned herein becoming involved in Interference or Opposition, to cooperate to the best of the ability of the undersigned with said Assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said letters patent, both in the United States and in other countries, and vest all rights therein hereby conveyed in said Assignee, its successors and assigns, whereby said letters patent will be held and enjoyed by said Assignee, its successors and assigns, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

MARSHALL, GERSTEIN &amp; BORUN LLP, 233 S. Wacker Drive, Suite 6300, Sears Tower, Chicago, Illinois 60606-6357

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27611/38257A

WITNESS our hands this

26 day of October, 2005.

State of

County of

Ohio  
FranklinX A Douglas Kinghorn  
A. Douglas Kinghorn

On this 26 day of October, 2005, before me, a  
Notary Public in and for the County and State aforesaid, appeared, to me personally known to be the same  
person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said  
instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires:

5/15/06June A. Evans  
Notary PublicJUNE A. EVANS, Notary Public  
State of OhioMy Commission Expires 5/15/06



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27611/38257A

GWS Docket 139-05

## ASSIGNMENT

Serial No: 10/551,639Filed: September 30, 2005

Title: METHOD AND COMPOSITION FOR CONTROLLING ORAL PATHOGENS

For \$10.00 (Ten Dollars) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS, a body corporate and politic of the state of Illinois, 352 Administration Building, 506 South Wright Street, Urbana, Illinois 61801 (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for letters patent of the United States, executed by the undersigned on 25 October 2005 and in said application and any and all other applications, both in the United States and in other countries, which the undersigned may file or be named as an inventor, either solely or jointly with others, on said invention or improvements, and in any and all letters patent of the United States and other countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner for Patents to issue said letters patent to said Assignee.

The undersigned hereby authorize and request that the attorneys of record in said application insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned except in favor of Assignee.

For said consideration the undersigned hereby agree, upon the request and at the expense of said Assignee, its successors and assigns, to execute any and all applications on said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any letters patent that may be granted upon any and all of said applications, and any and all applications and other documents for letters patent in other countries on said invention or improvements, that said Assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said Assignee, its successors or assigns, in the event of any application or letters patent assigned herein becoming involved in Interference or Opposition, to cooperate to the best of the ability of the undersigned with said Assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said letters patent, both in the United States and in other countries, and vest all rights therein hereby conveyed in said Assignee, its successors and assigns, whereby said letters patent will be held and enjoyed by said Assignee, its successors and assigns, to the full end of the term for which said letters patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

MARSHALL, GERSTEIN &amp; BORUN LLP, 233 S. Wacker Drive, Suite 6300, Sears Tower, Chicago, Illinois 60606-6357

SLR

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27611/38257A

I, Sara Kate Roberts, solemnly and sincerely  
 declare that the contents of this Declaration is true  
 and correct. I am one of the authors of the Declaration. At 10:57 (A.M.)  
 I signed my name to this Declaration. I am a resident of the State of  
 Delaware. I am in my home in Delaware.

State of \_\_\_\_\_  
 County of \_\_\_\_\_

*Sara K. Roberts*  
 Sara K. Roberts

On this 25 day of October, 2005, before me, a  
 Notary Public in and for the County and State aforesaid, appeared, to me personally known to be the same  
 person whose name is subscribed to the foregoing instrument, and acknowledged that she executed said  
 instrument as her free and voluntary act and for the uses and purposes therein expressed.  
 WITNESS my hand and seal the same day and year last above given.

My Commission Expires: \_\_\_\_\_

Notary Public

*Sara Roberts only*

\*\*\*\*\*  
\*\*\* RX REPORT \*\*\*  
\*\*\*\*\*

RECEPTION OK

TX/RX NO	5833
RECIPIENT ADDRESS	3034998089
DESTINATION ID	
ST. TIME	05/24 14:35
TIME USE	01'36
PGS.	10
RESULT	OK